



Our Vision:

Children thrive in family environments free from abuse and neglect.

Our Mission:

Successfully engage children and families to ensure safety, strengthen families, and achieve permanency.

CHILDS Replacement Program (Guardian)

State of Arizona – Department of Child Safety

June 14, 2018

Status Through: May 31, 2018



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- Technical Integration
- Data Management

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Guardian: Program Snapshot



Overview:

- Transition Program Manager
- Review required program documentation

Guardian Program		Status			Completion Rate		
Program Status	Project	State	R/A/G	Status	Overall %	Trending	Current Project Phase
Y	Platform	COMPLETE	*	COMPLETE 07.14.17	100%	*	Phase 5: Final
	Mobile	COMPLETE	*	COMPLETE 12.16.17	100%	*	Phase 5: Final
	Hosting (9300 Install)	Active		IN PROGRESS	94%	→	Phase 4: Delivery
	Technical Integration	Active		IN PROGRESS	18%	→	Phase 3: Initiation
	Data Management	Active		IN PROGRESS	1%	→	Phase 3: Initiation
	Enterprise Content Management (ECM)	Active		IN PROGRESS	1%	→	Phase 3: Initiation
	CHILDS Decommissioning	NOT STARTED	*		NOT STARTED	*	*

Status:

This Month:

Yellow

Last Month:

Yellow

Trending:



Project % Complete:

Complete
26 %



Remaining
74 %

Budget:

Planned:

\$86,088,076

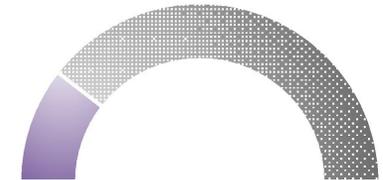
Expenditure:

\$18,888,532

Forecast:

\$67,199,544

Spent
22%



Remaining
78%

Guardian: Program Status Update



Activities Completed

Data Management

- Work continues on application and agency list for Data Exchanges Applications Reports (DEAR list)
 - Touchpoint with the group to start the DEAR list
 - Gathered all sources to populate the DEAR list
 - Applications list review with stakeholders
 - Reviewed DEAR list with the key IT stakeholders

Enterprise Content Management – OLR

- Provided training materials for transition to the Help Desk
- Held training sessions for transition to the Help Desk
- Verified with OLR project has been completed per requirements and can be signed off and closed

Hosting (9300 Install)

- 9300 hardware placed in Enforcement mode
- 9300 software upgraded to current version
- Traffic flow verified

Technical Integrator

- Finalized user story development / validation schedule
- Finalized schedule for development of remaining process maps
- Finalized Assessments User Stories
- Finalized Assessments to-be process map
- Finalized Case Management User Stories
- Finalized Financial Management User Stories
- Finalized Microsoft SOW
- Conducted Guardian Update to Supervisors in Pima, Hotline, and Northern

Upcoming Activities

Data Management

- 6/4 – Onboard new Data Architect – Mark Morris
- 6/6 – Review master DEAR list (data application exchange report) with IT Leads/OCM & Program Manager
- 6/15 – Review Data staffing plan and schedule with Linda
- 6/15 – Release DEAR list for team consumption
- 6/19 – Technical Integrator Kick off Meeting
- 6/29 – Continuing the data profiling and data dictionary tasks

Enterprise Content Management – OLR

- Officially close the project

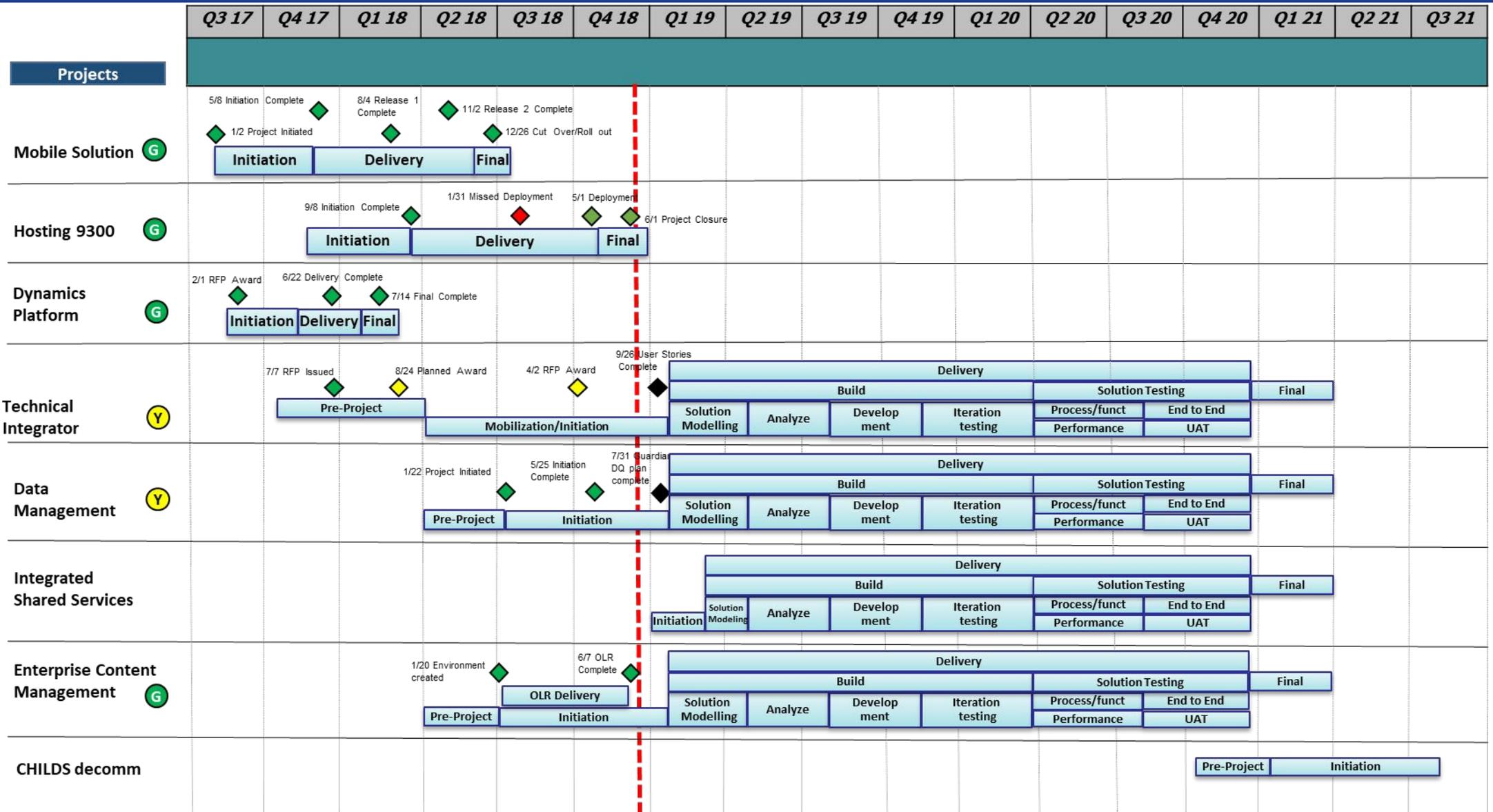
Hosting (9300 Install)

- Vendor to create 9300 runbooks and update the network diagram by 6/1/18

Technical Integrator

- Complete integrated staffing plan TBD (pending Microsoft start)
- Complete consolidated communications plan TBD (pending Microsoft start)
- Analyze impact of adding CMDP case management to Guardian scope
- Complete review of Intake to-be process maps with GS / LEAN
- Finalize Case Management to-be process maps
- Validate forms listing for all components
- Hire Provider Management Guardian Specialist

Guardian: Program Road Map



◆ On Track
 ◆ Delayed
 ◆ At Risk
 ◆ Upcoming
 → Critical Path

Guardian: Hosting (9300 Install)



Status:

This Month: **Red**

Last Month: **Red**

Project Phase: **Delivery**

Project % Complete:



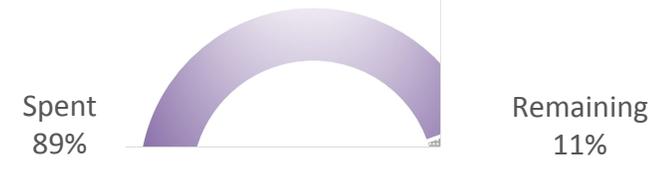
Budget:

Planned: **\$1,326,887**

Expenditure: **\$1,178,695**

Forecast: **\$161,725**

Budget:



Overview:

The firewalls have been installed and the new security rules were activated.

Completed Activities

- 9300 hardware placed in Enforcement mode
- 9300 software upgraded to current version
- Traffic flow verified

Risks/Issues

- Scheduled project end date has been missed

Upcoming Activities

- Vendor to create 9300 runbooks and update the network diagram by 6/1/18

Guardian: Enterprise Content Management



Status:

This Month: **Green**

Last Month: **Green**

Project Phase: **Initiation**

Project % Complete:



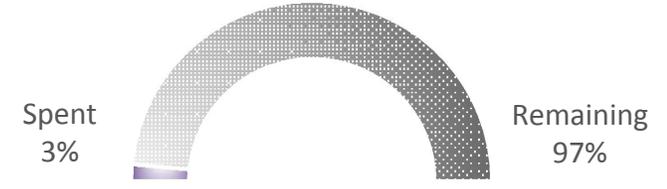
Budget:

Planned: \$6,345,388

Expenditure: \$173,209

Forecast: \$5,736,884

Budget:



Overview:

The transition to support with training was completed for the OLR scope of work. With the training complete this portion of the project will complete

Completed Activities

- Provided training materials for transition to the Help Desk
- Held training sessions for transition to the Help Desk
- Verified with OLR project has been completed per requirements and can be signed off and closed

Risks/Issues

Upcoming Activities

- Define remaining scope of ECM

Guardian: Technical Integration



Status:

This Month: Yellow

Last Month: Yellow

Project Phase: Initiation

Project % Complete:

Complete
18 %

Remaining
82 %

Budget:

Planned: \$33,533,536

Expenditure: \$315,259

Forecast: \$43,208,605

Budget:

Complete
1%

Remaining
99%

Overview:

TI kickoff meeting scheduled for 6/19. Work continues on user story development / completion for components. Work continues on component level to-be process maps.

Completed Activities

- Finalized user story development / validation schedule
- Finalized schedule for development of remaining process maps
- Finalized Assessments User Stories
- Finalized Assessments to-be process map
- Finalized Case Management User Stories
- Finalized Financial Management User Stories
- Finalized Microsoft SOW
- Conducted Guardian Update to Supervisors in Pima
- Conducted Guardian Update to Supervisors in Hotline
- Conducted Guardian Update to Supervisors in Northern

Risks/Issues

- Phased production rollout approach will require significant effort for DCS and the TI and introduces significant risk to the project – need to determine if a less risky rollout approach is feasible
- Data Exchange definitions between Guardian and other state agencies (Education, Courts, AHCCCS, etc.) are not yet being discussed with these agencies which may cause a schedule delay
- Effort related to CMDP inclusion in Guardian scope is unknown and may result in a change request from the TI. Scoping discussions are currently in progress with CMDP and leadership

Upcoming Activities

- Complete integrated staffing plan TBD (pending Microsoft start)
- Complete consolidated communications plan TBD (pending Microsoft start)
- Analyze impact of adding CMDP case management to Guardian scope
- Complete review of Intake to-be process maps with GS / LEAN
- Finalize Case Management to-be process maps
- Validate forms listing for all components
- Hire Provider Management Guardian Specialist

Guardian: Data Management



Status:

This Month: **Yellow**

Last Month: **Yellow**

Project Phase: **Initiation**

Initiation Phase:



Budget:

Current Data Management Assessment Budget is part of the technical integration budget. It has not been broken out separately at this time

Overview:

The EIM team is continuing the assessment activities around the applications, data stores, data exchanges and reports, which gives us a picture of the current state.

Key Accomplishments

- Continuing to work on application and agency list for Data Exchanges Applications Reports (DEAR list)
 - Touchpoint with the group to start the DEAR list
 - Gathered all sources to populate the DEAR list
 - Applications list review with stakeholders
 - Reviewed DEAR list with the key IT stakeholders

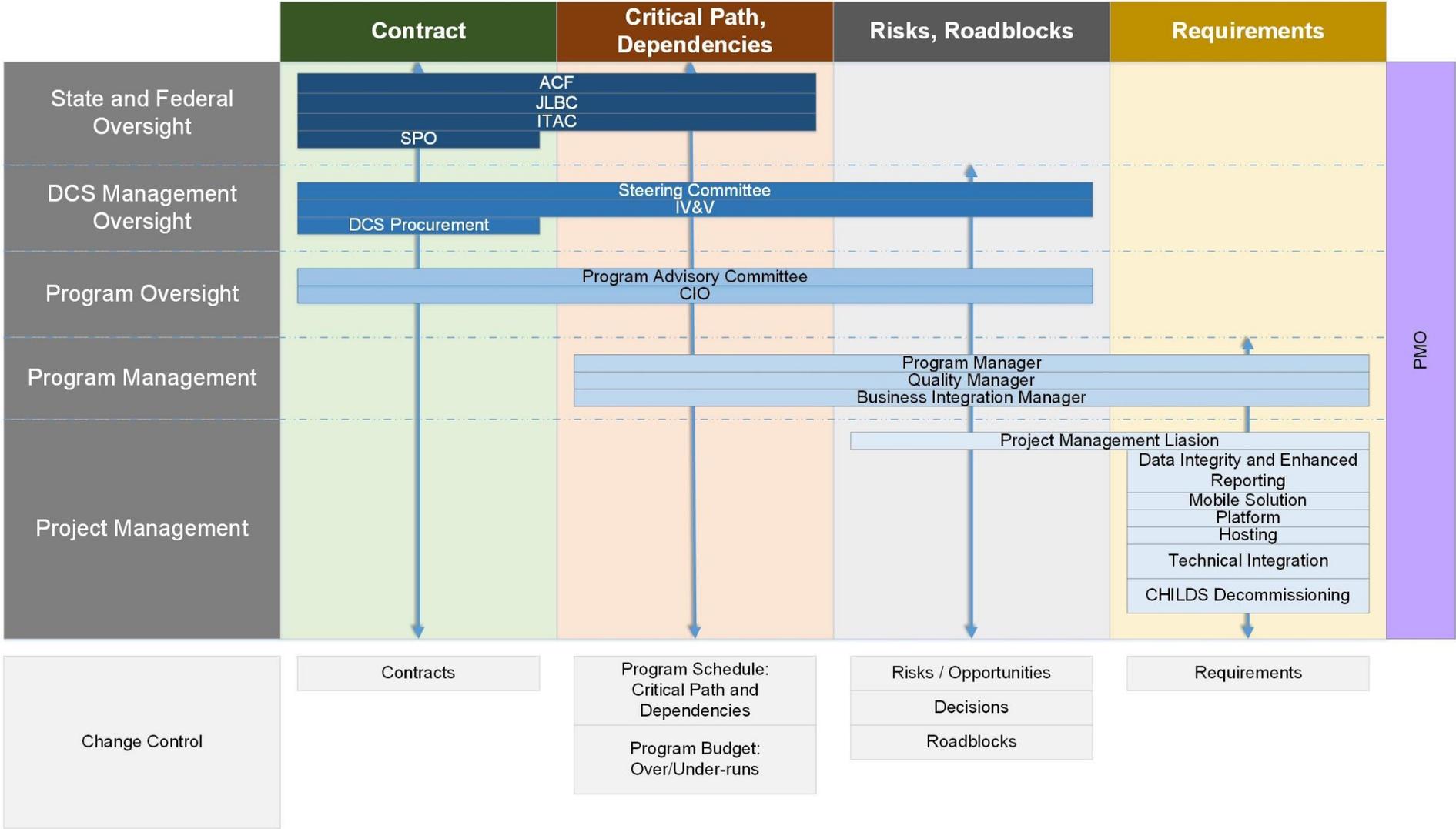
Risks/Issues

- The data warehouse strategy and approach scope needs to be defined with stakeholders. Without the scope defined, we are unsure of the impact it may have on the current project or other projects within the program.

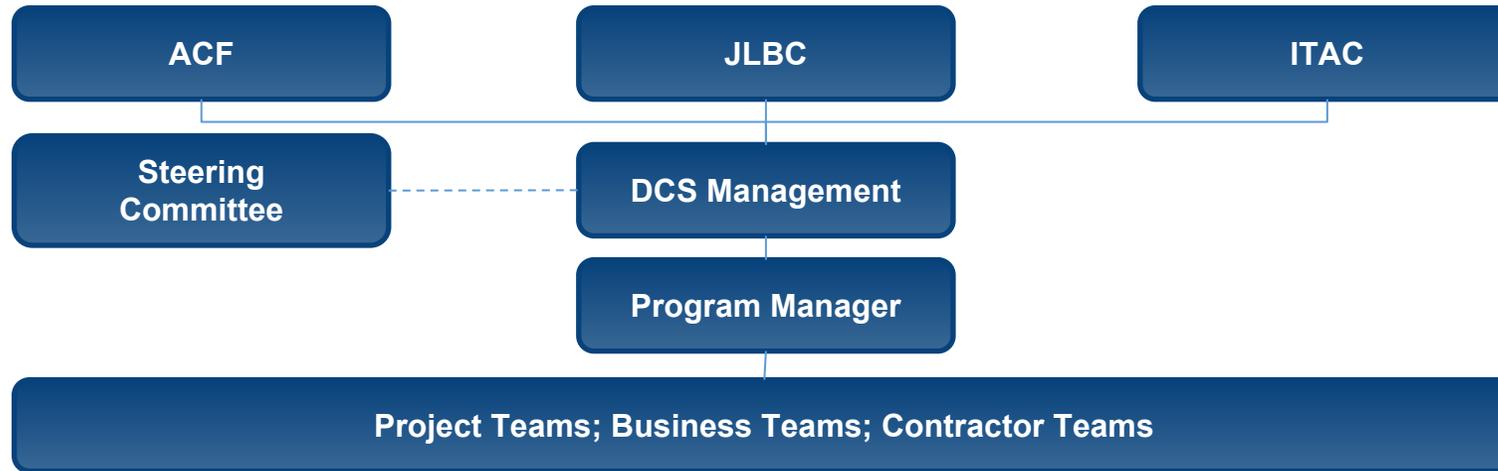
Upcoming Activities

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Program Governance



Program Projects, Support and Services



Program Projects

- Enterprise Content Management
- Mobile Solution
- Platform
- Hosting
- Technical Integration
 - Includes Data Exchanges, Data Warehouse, and Functional Components
- CHILDS Decommissioning

Program Support and Services

- Planning [complete]
- Feasibility Study [complete]
- IV&V
- Program Management
- Quality Management
- Business Integration

Current Development Estimate at Complete by Fiscal Year



	SFY15	SFY16	SFY17	SFY18	SFY19	SFY20	EAC (Estimate at Complete)
Planning and Procurement Cycles	\$ 236,627	\$ 314,593	\$ 599,942	\$ -	\$ -	\$ -	\$ 1,151,163
Feasibility Study		\$ 616,998	\$ -	\$ -	\$ -	\$ -	\$ 616,998
Data Management Assessment			\$ 625,307	\$ -	\$ -	\$ -	\$ 625,307
Program Management			\$ 1,185,035	\$ 2,835,363	\$ 2,773,425	\$ 2,674,560	\$ 9,468,383
Business Integration			\$ 1,070,367	\$ 2,450,315	\$ 2,458,809	\$ 2,831,744	\$ 8,811,234
Mobile Solution			\$ 1,434,041	\$ 1,610,677	\$ 311,325	\$ -	\$ 3,356,043
M&V			\$ 107,460	\$ 187,200	\$ 222,768	\$ 228,000	\$ 745,428
Quality Management			\$ 26,078	\$ 1,422,365	\$ 1,274,776	\$ 1,282,852	\$ 4,006,072
Platform			\$ 2,147,482	\$ 1,386,902	\$ 84,825	\$ 132,817	\$ 3,752,027
Hosting			\$ 7,973	\$ 1,200,220	\$ 121,567	\$ 10,659	\$ 1,340,420
Enterprise Content Management			\$ -	\$ 218,556	\$ 2,853,155	\$ 2,838,381	\$ 5,910,093
CHILDS Decommissioning			\$ -	\$ -	\$ 1,854,025	\$ 927,013	\$ 2,781,038
Technical Integration			\$ -	\$ 2,133,874	\$ 20,793,771	\$ 20,266,391	\$ 43,194,035
Other (Data Exchanges, Courts, Education)			\$ 6,035	\$ 221,799	\$ 51,000	\$ 51,000	\$ 329,835
Permanency			\$ -	\$ -	\$ -	\$ -	\$ -
Assessment			\$ -	\$ -	\$ -	\$ -	\$ -
Intake / Hotline			\$ -	\$ -	\$ -	\$ -	\$ -
Provider Management			\$ -	\$ -	\$ -	\$ -	\$ -
Data Warehouse			\$ -	\$ -	\$ -	\$ -	\$ -
Case Management			\$ -	\$ -	\$ -	\$ -	\$ -
Financial Management			\$ -	\$ -	\$ -	\$ -	\$ -
Total	\$ 236,627	\$ 931,591	\$ 7,209,721	\$ 13,667,273	\$ 32,799,446	\$ 31,243,417	\$ 86,088,076
Program Approved Budget							\$ 86,088,076
(Over)/Under							\$ -

Current Financial Position



State Funding			
	Appropriated	Favorably Reviewed	DOA Transferred
Total FY15 Appropriated	\$ 5,000,000	\$ 5,000,000	\$ 5,000,000
Total FY17 Appropriated	\$ 4,581,600	\$ 4,581,600	\$ 4,581,600
Total FY18 Appropriated	\$ 11,103,000	\$ 4,818,600	\$ 3,000,000
Total FY19 Appropriated	\$ 5,000,000	\$ -	\$ -
Total	\$ 25,684,600	\$ 14,400,200	\$ 12,581,600
Federal Funding			
	Appropriated	Approved to Spend	Available to spend
Federal Title IV - E Match available (50/50)**	\$ 23,936,532	\$ 23,936,532	\$ 12,581,600
Actuals and Encumbrances			
APF Spent/Encumbered		Federal Spent/Encumbered	
CH15002 (Planning and Procurement)	\$ 299,971	CH15002 (Planning and Procurement)	\$ 851,192
CH15004 (Feasibility Study)*	\$ 215,915	CH15004 (Feasibility Study)	\$ 401,083
CH17002 (Guardian)	\$ 8,560,186	CH17002 (Guardian)	\$ 8,560,186
Current Costs Encumbered***	\$ 14,239,548	Current Costs Encumbered***	\$ 14,239,548
Total APF Spent/Encumbered	\$ 23,315,620	Total Federal Funds Spent/Encumbered	\$ 24,052,008
Total			
Approved vs Actual & Encumbered		Available vs Actuals	
Total Funds Approved to Spend	\$ 38,336,732	Total Funds Available to Spend	\$ 25,163,200
Total Encumbered***	\$ 28,479,096	Total Funds Spent	\$ 18,888,532
Total Spent	\$ 18,888,532		
Total Approved	\$ (9,030,896)	Total Funds Remaining	\$ 6,274,668

- Per DCS JLBC Legislative Liaison, the remaining \$97k from the feasibility study (CH15004), does not require favorable review to spend on the rest of the Guardian Program
- \$29 APF balance remaining from the Planning and Procurement project (CH15002)
- The Federal Title IV-E Funding will always be equal to available APF as DCS is approved for 50/50 match
- Current Costs encumbered is derived from all formally issued POs as of 5/31/18. Encumbered costs will be reduced once invoices against those costs are officially paid

Financial Health:

- The current financial position for the program is green. The next expected JLBC favorable review for the remaining FY18 funds is planned for September 2018
- \$3,000,000 of funds was transferred to the program in April 2018